Draft Internal Audit Report 2014/19

AUDIT	DATE OF LAST AUDIT	YEAR 1 2014/15	YEAR 2 2015/16	YEAR 3 2016/17	YEAR 4 2017/18	YEAR 5 2018/19	COVERAGE IN FIVE YEARS
BARBICAN CENTRE							
DATA COLLECTION (SOFTWARE/TECNIQUES/ANALYSIS AND REPORTING)	NEW	5	0	0	0	0	5
VALUE FRAMEWORK (TECHNIQUES AND SCORING)	2014.03	0	0	0	0	20	20
BOX OFFICE (INCOME TARGET SETTING AND MONITORING)	NEW	20	0	0	0	0	20
STAFF RECRUITMENT & TRAINING (BUD. CTRL/CAPTURE OF BENEFITSD/OUTCOMES/TEMP STAFF)	NEW	0	0	0	20	0	20
BUSINESS EVENTS (INCOME TARGET SETTING AND MONITORING)	2014.03	0	0	0	0	10	10
BARBICAN CENTRE - INTERNATIONAL ENTERPRISE	2014.03	0	0	0	0	10	10
CATERING (STRATEGY/STRUCTURE/CONTRACTS/CINEMA CATERING)	NEW	0	15	0	0	0	15
SPONSORSHIPS/DONATIONS/GRANTS (TARGET MANAGEMENT/COLLECTION/REPORTING)	NEW	0	0	15	0	0	15
BUSINESS PLANNING (DEVELOPMENT AND MONITORING AGAINST PERFORAMCE)	NEW	0	0	5	0	0	5
MEMBERSHIP SCHEME (TAREGT SETTING/COLLECTION/REPORTING & MONITORING)	NEW	0	5	0	0	0	5
INCOME COLLECTION & BANKING	2012.08	20	0	20	0	0	40
PROCUREMENT (COMPLIANCE WITH STANDING ORDERS/CBIS PO&AP/PURCHASE CARDS)	NEW	0	20	0	20	0	40
BUDGET SETTING/FINANCIAL MANAGEMENT)	NEW	10	0	10	0	0	20
ICT REVIEWS							
ANNUAL ICT (STRATEGY/SECURITY/OPERATIONS)	2013.12	5	5	10	5	5	30
CONTRACT AUDIT							
COST MONITORING	2013.03	0	0	0	15	0	15
COST ESTIMATES AND COST PLAN	NEW	0	25	0	0	0	25
VARIATION ORDERS AND CHANGE CONTROL	2014.12	0	0	0	0	20	20
CONSULTANT SERVICES	2004.06	0	15	0	0	0	15
CONTRACT DOCUMENTATION	2002.09	0	0	20	0	0	20
COMPLETION AND DEFECTS	NEW	0	0	0	15	0	15
CSA - CDM & HEALTH AND SAFETY	2013.01	0	0	15	0	0	15
CSA - EXTENTIONS OF TIME	NEW	15	0	0	0	0	15
CSA - INTERIM VALUATIONS	NEW	15	0	0	0	0	15
LIFE CYCLE COSTING	NEW	0	0	20	0	0	20
CSA - VALUE ENGINEERING	NEW	0	0	0	0	15	15
SPOTCHECKS							
DEBT MANAGEMENT (INCLUDING CBIS AR)		0	5	0	5	5	15
EXPENSE CLAIMS (INCLUDING HOSPITALITY/STAFF EXPENSES)		0	5	0	5	5	15
CASH & SECURITY(PETTY CASH/FLOATS/SAFES)		0	5	0	5	5	15
SYSTEM CONTROLS (ARITFAX/ENTA/VINS)		0	0	5	0	0	5
FOLLOW-UPS							
FOLLOW-UP CONTINGENCY		8	8	8	8	8	40
TOTAL		**98**	**108**	**128**	**98**	**103**	**535**